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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

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TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

GOTEP037

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/581058

INTERNATIONAL APPLICATION NO.

PCT/SE98/02367

INTERNATIONAL FILING DATE

17 December 1998

PRIORITY DATE CLAIMED

18 December 1997

TITLE OF INVENTION

PERCUTANEOUS BONE ANCHORED TRANSFERRING DEVICE

APPLICANT(S) FOR DO/EO/US

HAKANSSON

EL556132686US

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

Notification of Transmittal of International Preliminary  
Examination Report  
PCT RequestNotice Informing Applicant of Communication of International  
Application to the Designated Offices

Copy of Published PCT Application No. WO 99/34754

Copy of Formal Drawings

Copy of International Preliminary Examination Report



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. Application No. To be assigned  
Application of: Hakansson  
International Application No. PCT/SE98/02367  
International Filing Date: 17 Deember 1998  
Priority Date Claimed: 18 December 1997  
For: Percutaneous Bone Anchored Transferring Device  
Attorney Docket No. GOTEP037

PRELIMINARY AMENDMENT

Asst. Commissioner for Patents  
Washington, D.C. 20231

Sir:

Preliminary to calculating the fees for the application filed herewith please make the following amendments to claims 1 - 12 as amended before the IPEA/SE, the translated text of which is shown on the enclosed pages labeled "Amended Sheet":

In the claims:

In claim 3, line 4, delete "claims 1-2" and insert "claim 1".

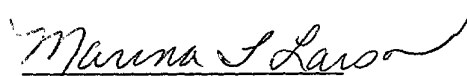
In claim 8, line 1, delete "claim 1-2" and insert --claim 1--.

In claim 9, lines 1 and 2, delete "claims 3-8" and insert --claim 3--.

REMARKS

These amendments are made to eliminate multiple dependency. No new matter has been added.

Respectfully,



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09/581058

GOTEP037US

## TRANSMITTAL FORM

U.S. Application No. : To be assigned  
 Application of: : Hakansson  
 Filing Date : 08 June 2000  
 Title : Percutaneous Bone Anchored  
 Transferring Device  
 Attorney Docket No. : GOTEP037US

## Enclosures:

- Transmittal Letter 371
- Preliminary Amendment (1 pg.)
- Check No. 6559 for \$840.00
- Notification of Transmittal of IPER
- Copy of IPER
- PCT Request
- Notice Informing Applicant of Communication of  
International Application to Designated Offices
- Copy of Published PCT Application No. WO 99/34754
- Copy of Formal Drawings

Date: June 8, 2000

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## CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. § 1.10

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Date of Deposit June 8, 2000. I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to:

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Susan Howard  
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*Susan Howard*  
 (Signature of person mailing paper or fee)

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#3



**STATEMENT CLAIMING SMALL ENTITY STATUS**  
**37 CFR 1.9(f) & 1.27(c)--SMALL BUSINESS CONCERN**

Docket Number (Optional)

Applicant, Patentee, or Identifier: \_\_\_\_\_  
Application or Patent No.: 09/581058  
Filed or Issued: June 8, 2000  
Title: Percutaneous Bone Anchored Transferring Device

I hereby state that I am  
☒ the owner of the small business concern identified below:  
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN OSSEOFON AB

ADDRESS OF SMALL BUSINESS CONCERN Splintvedsgatan 7, 416 80 GÖTEBORG, Sweden

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
- ☐ the application identified above.
- ☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

- ☐ Each person, concern, or organization having any rights in the invention is listed below:
- ☐ no such person, concern, or organization exists.
- ☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Bo Håkansson

TITLE OF PERSON IF OTHER THAN OWNER \_\_\_\_\_

ADDRESS OF PERSON SIGNING Splintvedsgatan 7, 41680 Göteborg

SIGNATURE Bo Håkansson DATE 18/6-2000

**TITLE****PERCUTANEOUS BONE ANCHORED TRANSFERRING DEVICE****DESCRIPTION**5 **Technical field**

There is a great need for transferring electrical information and/or electric energy to an inner subcutaneous permanently implanted unit at several medical-technical applications.

10 The present invention relates to a percutaneous bone anchored transferring device, preferably by means of which an outer electrical unit can be connected to an inner implanted subcutaneous unit.

Varieties of such connecting devices are not unknown, but the present invention differs, i.a. in that the bone anchored and skin penetrating transferring device can be connected in a  
15 simple way, beneath the outer limiting surface of the bone, to and from the implanted set of cables/joining device, which transfers electrical information and/or energy, drug, etc., to the inner implanted unit. Furthermore, the new transferring device is designed in such a way that the dimensions are small and that the biocompatibility properties become good.

20 The primary application described in the description is an electrical connection device which is designed for daily use, i.a., in that the connection becomes simple and in such a way that substantially free rotational positioning is allowed, and that the connection is easily maintained and that details being worn out simply can be exchanged. The connection is furthermore designed in such a way that it disconnects due to an outer mechanical influence  
25 being large enough.

**Background of the invention and prior art**

In spite of an increasing need for a percutaneous connecting device for permanent use, in particular for the transfer of electrical information and/or electric energy there is no  
30 commercially available unit being allowed for clinical use (as far as the inventor is aware of in December 1997). This, in spite of the fact that there are several patents within the field.

As a conclusion, it can be said that the reason that these patents have so far not lead to any commercial product is probably due to the fact that the patents describe connecting devices which are either too complicated in that they contains too many poles and ingoing components or that they do not attend to all the nuanced demands raised from a biocompatibility, anatomical, surgical, electric, patient safety and handling points of view on a permanent, percutaneous, electric connecting device for daily use . In the following some relevant published patents concerning electrical connecting devices are commented in particular with regard to differences to the present invention as described.

- 10 In US-A-5,562,670 to Brånemark an electrical connecting device is described which is applied by means of a threaded tubular implant where its inwardly turned end has a central bore. Contact means and set of cables are introduced and fixed from the outside of the implant. This is a patent by the pioneer and the inventor to the world comprising industrial business of titan implants of today concerning dental rehabilitation, bone anchored hearing aids, and face prosthesis, knee and finger joints etc, professor P-I Brånemark. Without his basic research activities around biomaterial research in general, and titan implants in particular a long row of new applications/inventions had not seen the light. When it comes to practical realization of the electric connecting device described in US-A-5,562,670 there is a weakness in that the implanted set of cables and the inner implanted unit have to be so small that it can pass through the central bore of the implant. In most applications, however, the inner implant is to large to be able to pass in through the central bore of the implant. In these cases the units have be surgically implanted as an integrated unit or become mounted together with the implant in place or become connected by means of a further implanted and connecting device being small enough. If the contact means should be repaired or maintained, which is necessary with regard to the environment as a skin related implant is subject to (contact surfaces become oxidized etc.), this has to be done in the tube in the patient. If one should wish to remove/exchange the connecting device the whole implanted set of cables has to be removed. Fixation of the implant using threads means that the bone anchored part of the implant has to have a diameter large enough to encompass even the thread as such which clearly restricts the possibilities to encompass connecting details therein, as well. It is desired to encompass the connecting details in the bone anchored part

of the transferring device to reduce the total height of the transferring device. A well functioning transferring device should not extend outside the skin level more than 1 to 3 mm in order to avoid damages from optional outer mechanical violence and in order that the implant should be experienced as acceptable from an aesthetical point of view. Furthermore, a screw implant has to be rotated at the application which means that an asymmetrical design of the implant is very hard to realize. An asymmetric design of the implant is a desire as the bone thickness where the implant, from a practical point of view has be placed, is so thin that the set of cables, as a rule, has to leave the implant in a radial direction. Furthermore, the inner implanted unit has to rotate together at the application if it has been premounted and passes through the transferring device (due to the fact that it is to large to be applied afterwards through the bore), which will lead to practical problems, as well.

US-A-3,870,832 to Fredricson discloses a connecting device for the application of a microphone, which device corresponds, in principle, with the Brånemark patent. Fredricson shows that the retention of the microphone element is done using a locking nut which is applied using an outwardly turned thread on the implant, which should lead to a potential risk for bacterial accumulation and risk for skin irritation. Besides, the construction according to this patent, is characterized by the same weaknesses as described above with reference to patent 5,562,670.

US-A-5,604,976 to Stobie et al discloses a connecting device for a great number of conductors, the inner connecting device of which is not intended to be lowered beneath the surface of the outer limiting surface of the bone, but become fixed above the same but below the soft tissue. In this connecting device the set of cables is lead to the inner implanted unit on the top of the bone beneath the soft tissue. Problems reported in clinical tests using such an arrangement shows that the set of cables having a realistic dimension (minimum 1 to 2 mm in diameter) and being a little elastic creates biocompatibility problem at the skin penetration, probably due to the occurrence of small movements between skin and bone with a foreign material there between. Furthermore, the necessary skin reduction can be jeopardized if the set of cables has not got a very small diameter. The connection can be severed apart by means of tools to loosen a screw connection and can not, for any reason,



be disconnected in daily use. This connecting technique further means that rotation of the outer connecting part is not possible and that an overloading protection from outer influence is missing.

- 5 US-A-5,507,303 to Kuzma discloses a connecting device where the implant, for sure, is anchored to the skull bone but where the skin/bone connecting tissue closest to the implant is separated from the skull bone using a large flange. A long experience from skin penetrating titan implants in the skull bone shows that it is of utmost importance that the skin around the penetration area has passed an adequate skin reduction and that the
- 10 thickness reduced skin is allowed to grow against bone connecting tissue and skull bone (Tjellström, Anders, et al, The Bone Anchored Hearing Aid - design principles, indications and long-term clinical results, Otolaryngologic Clinics of North America, vol 28(1), 1995, pp 53-72). The flange of the actual connecting device hinders the skin to grow to the bone/bone connecting tissue, and the frequency of skin complications can be expected to be
- 15 relatively high. Furthermore, the whole connecting device is placed outside both bone and skin, which means that its extending part above the skin surface becomes considerable. The retention between the connecting parts is done using magnetic force.

- US-A-4,025,964 to Owens discloses a connecting device which unlike the connecting
- 20 devices above is not anchored to the bone in a stable way. Even small movements of the implant relative to the skin will lead to a great risk for skin irritation. Fixation between the male and female parts of the connecting device is carried out using magnetic attraction force and the parts can not be rotated relative to each other.

- 25 US-A-3,995,644 to Parsons discloses a connecting device, as well, which is merely fixed to the skin, and intended to transfer an electrical signal, preferably for electrical stimulation of muscle units. Due to the fact that even small movements between skin and implant create irritation, this type of connecting devices should only be used temporarily and time restricted use.

30

Finally, there are a number of connecting devices which are intended to be used totally

US-A-4,328,813 relates to a system for anchoring a brain cable and is only intended to geometrically fix or lock a cable such as an electrode for the stimulation of a certain point in the brain. The cable is thereby intended to be brought underneath the scalp to an electric stimulator. As the implant is manufactured in an elastic material, and provided with slots, the implant can not be used for a bone anchored percutaneous transferring device.

The object of the present invention and features of a principle nature. As mentioned above there is a great demand for, within several medical-technical applications, to transfer electrical information and/or electric energy or to communicate in an other way (e.g. to distribute drugs or to obtain airing of interior cavities and cell systems) from an outer unit to a subcutaneously implanted inner unit. Such subcutaneously implanted units can be hearing technical aids, e.g. coclear implants, middle ear implants, bone transfer implants, device for suppression of tinnitus and other medical-technical aids, e.g. stimulators of different types, registration means for biological signals, pumps for distribution of drugs, evacuation of liquids etc. In principle such devices consist of the following essential parts: outer unit, connecting device, skin penetrating and bone anchored transferring device, set of cables/communication channel, and subcutaneously implanted inner unit. A fundamental device utilizing a connecting device according to the present invention is provided in Figure 13, where the outer unit can e.g. be a hearing apparatus without loud speaker, and the inner unit can be a vibrator for the generation of bone transferred sound. The reason that a set of cables/communication channel between the transferring device and the inner unit is needed is that the bone thickness where the

transferring device from a practical and anatomical point of view has to be placed, is so thin that the inner unit will get no room. On the other hand there is plenty of room a short distance away, and closer to the auditory canal in the part of the temporal bone mentioned processus mastoideus. Where and how the inner unit will be placed is thereby dependent upon the application.

Although, if the present invention concerning a transferring device can be used for other communication of long term stable type, the following describes the primary application where an outer unit can be connected electrically to an inner implanted subcutaneous unit by means of the presently proposed transferring device. Varieties of such connecting devices are not unknown but the present invention is unique for the following reasons:

1. The application/fixation between the bone anchored part and the skin penetrating transferring device and the set of cables takes place below the outer bone level, preferably in the bottom part of the transferring device.

The advantages using this solution is

a. that the set of cables and the implanted inner unit can be assembled, and disassembled, respectively, separately, which is not only essential for facilitating the first installation but in particular at future events, of the type skin irritation/damages/maintenance/updatings, when the bone anchored and skin penetrating transferring device, and the set of cables (optionally including the inner unit), respectively, need to be exchanged, most often independent from each other. Further the transferring device can be removed in such a way that intact skin can be replaced without influencing the set of cables and an inner, implanted unit. In this way all inner vital parts can be retained resting underneath the skin, simultaneously as the skin above the penetration area is replaced for a longer or shorter term period. This way of acting can be of great importance, if the patient should like to temporarily cease the treatment but have the possibility to easily retain the treatment when the need, optionally, reoccurs;

b. that the transferring device and the set of cables (including the inner units connected to the other end thereof) can be rotated independently from each other at the mounting, and

optional dismounting, respectively.

- C. that the skin closest to the penetration area can be reduced to the thickness desired, and be allowed to rest/heal to bone tissue and bone connective tissue which facilitates by the fact  
5 that the set of cables is drawn beneath and not over the outer bone surface.

2. The transferring device which is lowered into the bone tissue will be anchored by means of radial arms placed outside the outer surface of the bone, and will in turn be fixedly screwed to the bone tissue.

10

The advantages using this solution are:

- that a first contact unit can be placed within the bone anchoring part of the transferring device (beneath the outer bone surface) without its outer diameter becoming undesirably large. This is possible as the bone anchoring part of the transferring device does not contains  
15 threads which otherwise take large room. By placing part of the connecting device into the bone anchoring part of the transferring device the part of the transferring device extending outside the skin can become minimal, which is advantageous partly from an aesthetical point of view, partly with regard to the risk for outer mechanical damage of the implant;

- 20 3. The connecting device comprises one middle connecting unit placed in the outer part of the transferring device. Hereby two connecting devices occur one outer to be connected to an outer unit, and one inner to be connected to the set of cables.

This solution provides the following advantages:

25

- a. the middle connecting unit which will be exposed to the outer environment is a disposable detail designed to be simple to exchange if there should be bad contact due to the appearance of an oxide layer etc, or if a damage should occur in another way;
- 30 b. the middle connecting unit, in combination with a tightening ring, protects the inner and more sensitive connecting device from an outer environmental influence. Furthermore, the

middle connecting unit will serve, in combination with the tightening ring, as a first biological bar against the passage of undesired compounds/bacteria to the tissue inside the transferring device. The main bar in connection herewith, is, however, the screw joint between the connecting means of the transferring device and the set of cables;

5

c. the outer connecting device can be designed in such a way that it will allow free rotational positioning, will provide for a simple connection/disconnection, and will serve as an overload protection aid.

- 10 Experiences from more than 20 years of developing work with bone anchored hearing aids (Håkansson, Bo et al, The Bone Anchored Hearing Aid, Edited by Dar Tolman & P-I Brånemark, to be published) where more than 5000 patients have been operated and been provided with a mechanical bayonet joint (SE-C-8107161-5) show that all aspects mentioned above are of importance to have a connecting device work in clinical use for long time. It
- 15 might seem that there is a restriction in that the present invention can hardly be realized using more than 4 to 6 poles, maintaining reasonable dimensions. If a larger number of poles is desired, as for example using the cochlear implant, wherein up to 20 to 30 electrodes shall become separately provided it is suitable to utilize so called multiplexing.
- Multiplexing means that the information is transferred sequentially in a signal cable through
- 20 the percutaneous electrical connection in order to then in an electronic way, become split up in the inner implanted unit and become distributed to the number of electrodes desired.
- Multiplexing is a well known technique when it is used within all communication (telecommunication and television) where one normally has not admittance to parallel cables. That which further speaks against a great number of poles in percutaneous electrical
- 25 contact is that complexity and restrictions of both medical and technical character increases dramatically using an increasing number of poles. Generally, in most applications one can manage using three poles which then might be plus, and minus poles, respectively, as well as one signal cable. In specific hearing applications one sometimes wish to drive a push-pull vibrator where two cables are signal lines and one line is voltage feeding.

30

Short description of the figures

Figure 1 is a compiling cross sectional view of a helping aid where an electrical connecting device of the present invention is utilized.

Figure 2 is a cross sectional view of the present invention comprising a skin penetrating and bone anchored transferring device with its set of cables joined in the bottom part of the transferring device as well as a middle connection unit and tightening ring as mounted. In this embodiment of the middle connecting unit contact metal sheets of the outer contacting means attacks the unit with a radial force.

Figure 3 shows a cross sectional view of the transferring device shown in Figure 2.

Figure 4 shows the embodiment of Figure 3 seen from above.

Figure 5 shows the transferring device according to Fig. 2 to 4 using a connecting means for connection to an inner unit.

Figure 6 shows different details of a middle unit for insertion in the transferring device according to Fig. 2 to 4.

Figure 7 shows an embodiment of how the outer unit is connected to the middle connecting unit.

Figure 8 shows a simple tool for mounting, and dismounting, respectively, the middle connecting unit as well as how contact surfaces can be cleansed/maintained.

Figure 9 shows an alternative embodiment of the middle connecting unit, where the middle connecting unit is fixed by means of slotted radially spring biased arms.

Figure 10 shows a lid used when the middle connecting unit and its contact surfaces should be protected, for example while taking a bath in salt water, and having a sauna.

Figure 11 shows an embodying example how the invention can be used at the distribution of a drug and evacuation/airing, alternatively, of internal cavities.

Figure 12 shows an alternative design of the contact means where the contact metal sheets of the outer contact means are connected using an axial contact force.

Figure 13 shows a schematic picture of a medical-technical helping aid where a connecting device according to the present invention is brought into place.

#### Description of the present invention

1 denotes a skull bone with its skin and skin tissue 2, which has been thinned using known surgical technology. An electrical connection 3 manufactured in a tissue compatible material

such as titan, is anchored into the skull bone 1 using screws 4, suitable of the same type of material, attached in said bone, whereby the connecting device is placed in the bone itself by means of a boring and lowering into the drilled hole 5. From the bottom part of the connecting device 3 a set of cables 6 has been drawn to an inner unit, not shown, such as a vibrator acting against the hearing bones.

The connection 3 comprises according to Fig. 2 - 4 a transferring part 11 which comprises a number of arms 12 provided with holes 13 for carrying a screw for anchoring it by means of screws 4. The number of arms can be three, four, five or more depending on the size and intended placing. The arms 12 are pivotable and inclinable to admit maximum of adaptation to the substrate to which they shall be screwed. The transferring part 11 has outwardly a substantially cylindrical form with the exception of the arms 12 as well as an inwardly substantially cylindrical form. In the upper part 14 the transferring part 11 is thinned to allow deformation if a large load should occur on the transferring part 11. On its inside the transferring part 11 of this embodiment has a groove 15 for receiving an O-ring 16. In the bottom part 17 of the transferring part 11 a hole 18 is arranged whereby its outwardly turned limiting surfaces 19 are obliquely arranged. The transferring part 11 is suitably teased in its lower cylindrical part, the bottom part 17, to allow adaption to the tissue 1 in which it will be introduced. The transferring part 11 is shown as an integrated unit, but can be split and joinable by means of a screw joint over the plane in which the arms 12 are arranged.

In the transferring part 11 of this embodiment a connection means 21 is introduced from beneath and fixedly arranged to the transferring part 11 by means of a screw joint by means of a locking nut 22. The connection means 21 shows a conical upper limiting surface 23 intended to abut perfectly to the hole 18 and its limiting surfaces 19 of the transferring part 11. In the connection means 21 an electrical connecting unit 24 is arranged the set of cables 6 of which is drawn out through a side opening 25 of the connection means 21.

To the connection means 24 a second connection unit 26 is arranged whereby one unit has male pins or metal sheets and the other unit shows female pins or metal sheets for obtaining a good electrical connection between the connection units 24 and 26.

The connection unit 26 is in turn introduced into a middle insert 31 around which three different poles 32, 33, 34 are arranged and connected via metal sheets and cables to the connection unit 26, which is a unit built by cylindrical parts made of plastic or another non-conducting material. In the centre of the middle insert 31 a contact metal sheet of a plus pole 32 is placed. From this plus pole 32 a connecting line leads to a corresponding plus pole 26p on the connection unit 26. Around upper cylindrical part of the middle insert a contact metal sheet of a signal pole 33 is placed, which, via a not shown through hole, is connected to a corresponding signal pole 26s of the connection unit 26. Further, there is a contact metal sheet of a minus pole 34 arranged around the lower cylindrical part of the middle insert 31, whereby this minus pole 34 is in contact with a corresponding minus pole 26m of the connection unit 26, not shown.

An outer contact 41 is connected to the middle insert 31 with its different contact metal sheets, which contact can be a microphone unit of a hearing aid, another signal treatment unit, or as evident from Fig. 11 be a unit for the distribution of drugs or airing of a cavity. The outer contact 41 comprises a number of pins 42, 43, and 44 which connect to their respective contact metal sheet 32, 33, and 34. The pins 42 abut to the centre contact metal sheet 32 whereby this, at its point, is bent outwardly from the centre to rest against the sheet 32. In the same way the point of the sheet 43 bent outwardly to connect to the sheet 33. The pins 44 are bent inwardly towards the centre to connect to the edge of the contact sheet 34, which edge can be made stepped to allow stepping/variation of the position of the contact house/hearing apparatus from a rotational point of view. Hereby the sheet 34 is bent in an upward direction on two facing points to allow the pins 44 to be brought down beneath the edge of the contact sheet.

The pins 44 have a primary task to retain the outer contact 41 to the middle insert 31. At a load being high enough the pins will, however, pass over the edge to create a security release of the outer contact part visavi the inner middle insert and thereby the whole transferring device.

51 denotes a tool for removal and introduction of the middle insert comprising the



connection units from the transferring device 11. The tool 51 is hereby tubular and slotted in such a way that it by means of the grip 52 can be pressed together to retain a middle insert 31.

- 5 In fig. 10 a lid 61 is shown, which can be placed over the middle insert 31 when the outer contact 41 has been removed. It is suitable to apply the lid 61 when visiting a sauna or being in salt water. Hereby the lid 61 contains an upset 62 which snaps down over the upper edge of the transferring device 11.
- 10 In fig. 9 an alternative fixation of the middle insert 31 is shown, whereby its upper part is slotted and stretches outwardly, whereby this upper part stretches in beneath the edge of the upper part of the transferring device 11, the upper edge of which is hereby upset. Further, the embodiment shows an alternative arrangement of the O-ring.
- 15 In fig. 12 an alternative design of the contact means is shown having an axially elastic contact pin 71 which abuts a circuit card 72 provided with circuit lines.

Fig. 11 shows, as mentioned, an embodiment for the distribution of drugs in the form of a solution whereby an injection needle 81 penetrates a membrane 82 arranged in the middle  
20 insert as well as a membrane 83 arranged in the connection means. A tube 84 connects to the injection needle 81 for the addition of a drug solution, as well as a tube from the lower part of the connection means for the distribution at a suitable site in the body. These tubes and the injection needle can be used for the airing of a cavity, as well, such as a middle ear suffering from continuous inflammations.

ART 34 AMEND

13

# CLAIMS

1. Connection means intended to be placed below the outer bone surface which connection means is further intended to be connected to a bone anchored percutaneous transferring device for transferring electrical information/energy to and/or from an implanted unit or for the administration of a drug or evacuation or airing of internal cavities,

**characterized in**

that the connection means (21) which is intended to be placed below the outer bone surface is releasably arranged to said transferring device comprises a substantially cylindrical unit having tightening surfaces (23) in relation to said transferring device, and a connecting

surface for the connection of a fixing means for the fixation to said transferring device (11).

2. Connection means according to claim 1, **characterized in**

that it comprises a through opening provided with a membrane (83).

3. Transferring device for communication to/from an implanted unit or for the administration of a drug, comprising a body part being introducable into a bone, and a part being present above the bone surface, and comprising a substantially cylindrical body (11), and to be used in a connection means according to claims 1-2,

**characterized in**

that the part (11) of the transferring device situated above the bone surface contains a number of radial arms (12) arranged to be fastened to the bone (1) into which the device will be introduced.

4. Transferring device according to claim 3, **characterized in**

that the radial arms (12) are bendable and turnable for adaptation to the substrate.

5. Transferring device according to claim 3, **characterized in**

that the cylindrical body (11) has such surface property that it is an integral unit towards to tissue after operation therein.

30

6. Transferring device according to claim 3, **characterized in**  
that the body part (14) situated above the bone surface consist of two individual parts (14',  
14'') which are connected to each other by means of a releasable joint.
- 5 7. Transferring device according to claim 3, **characterized in**  
that the upper part (14) of the body (11) is provided with a weakened zone.
8. Transferring device according to claim 1-2, **characterized in**  
that it shows a through going hole (18) in its bottom part (17) having tightening connection  
10 surfaces (19) to the connection means of claim 1.
9. Middle connection means for introduction into a transferring device according to claims  
3-8, **characterized in**  
that it comprises an outer contact unit (41) and an inner connection unit (31, 32, 33, 34)  
15 whereby the contact unit (41) is releasably arranged in said middle connection unit (31, 32,  
33, 34).
10. Middle connection means according to claim 9, **characterized in**  
that said middle connection unit (31, 32, 33, 34) comprises a number of contact metal sheet  
20 for obtaining an electrical transfer.
11. Middle connection means according to claim 9, **characterized in**  
that the middle connection unit (31, 32, 33, 34) (31) comprises a through opening provided  
with a membrane (82).  
25
12. Middle connection means according to claim 9, **characterized in**  
that the outer contact unit (41) and the middle connection unit (31, 32, 33, 34) (31) are  
arranged to be released from each other at a predetermined load on the outer contact unit  
(41).  
30

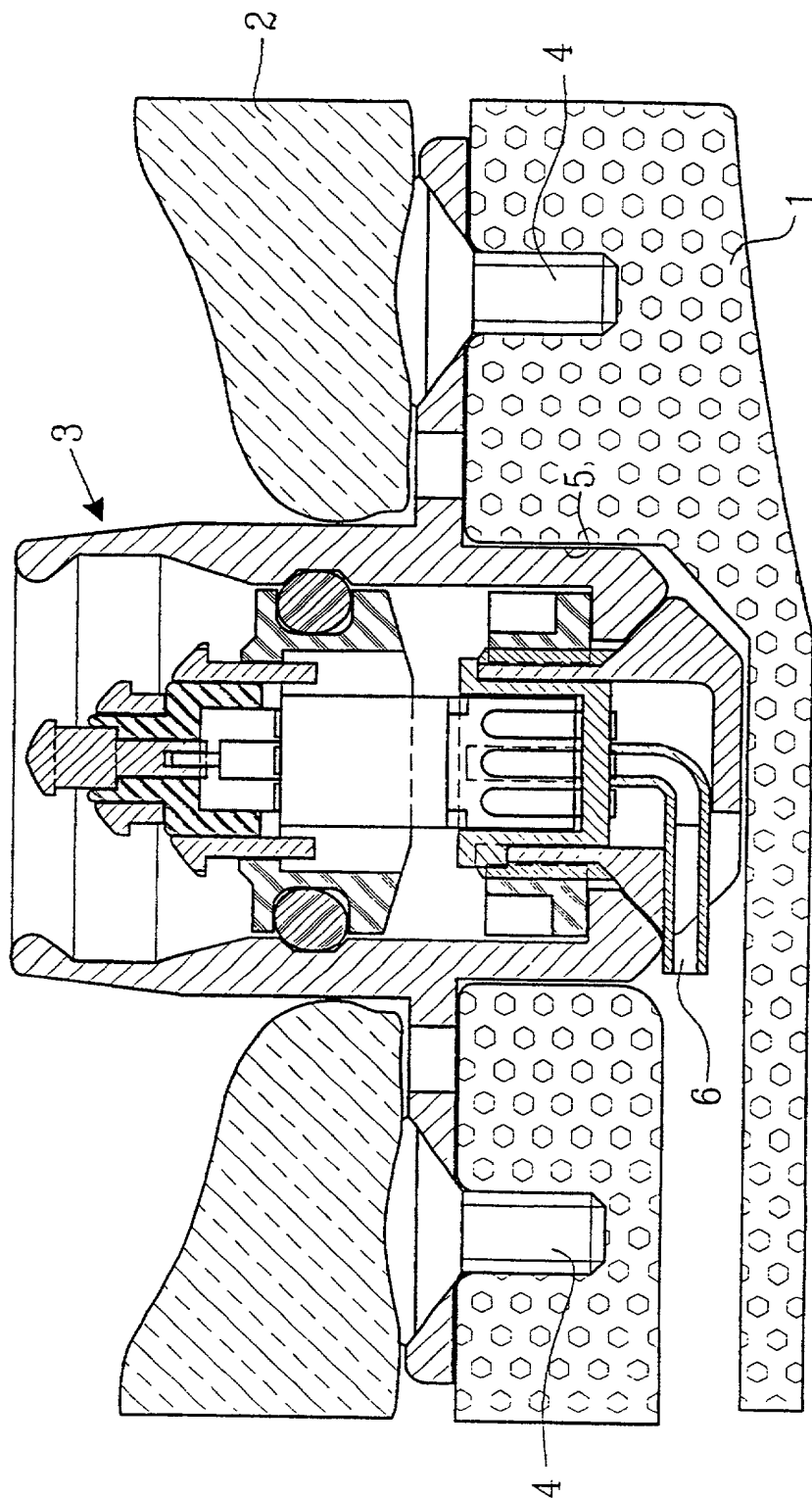


FIG. 1

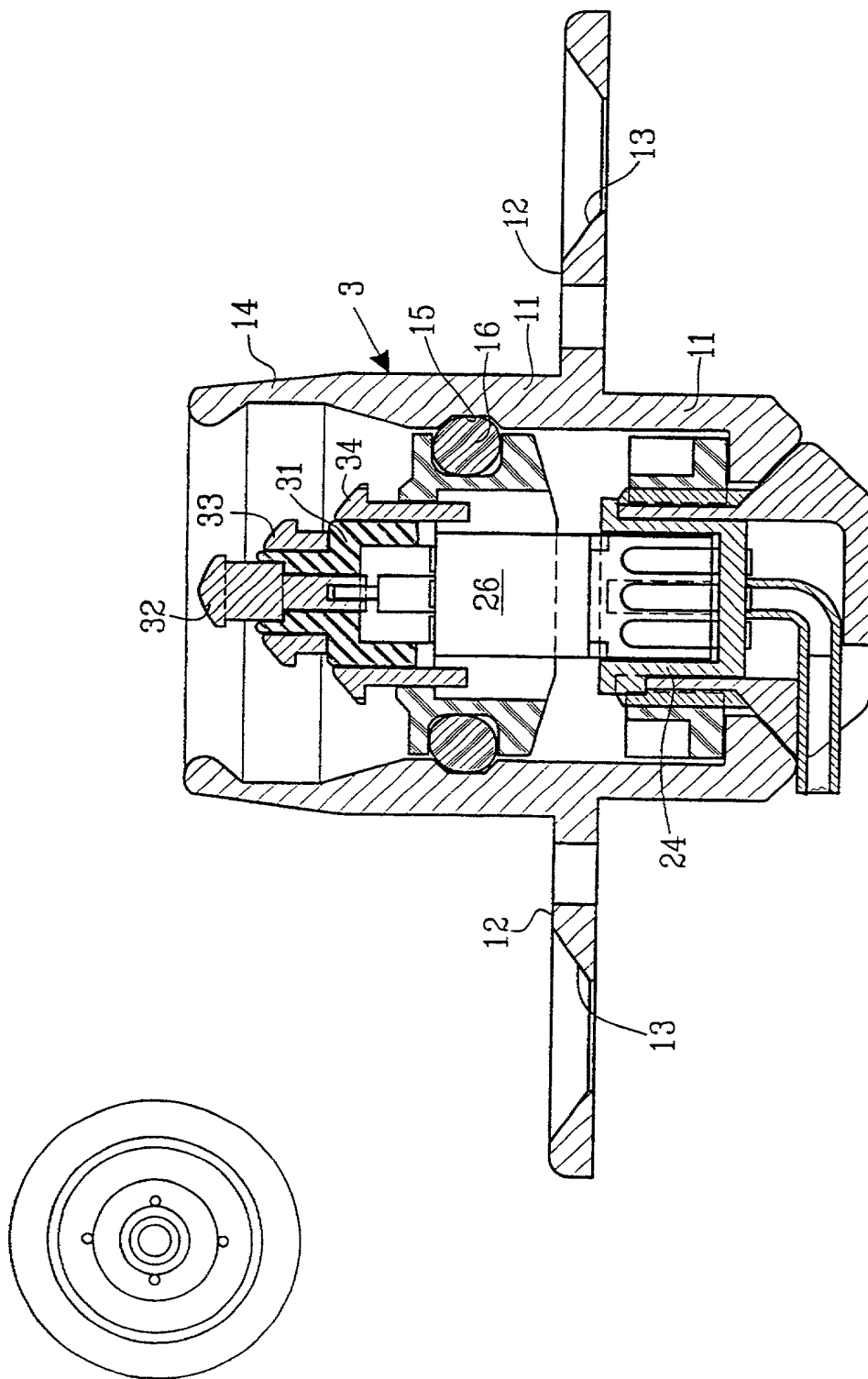


FIG. 2

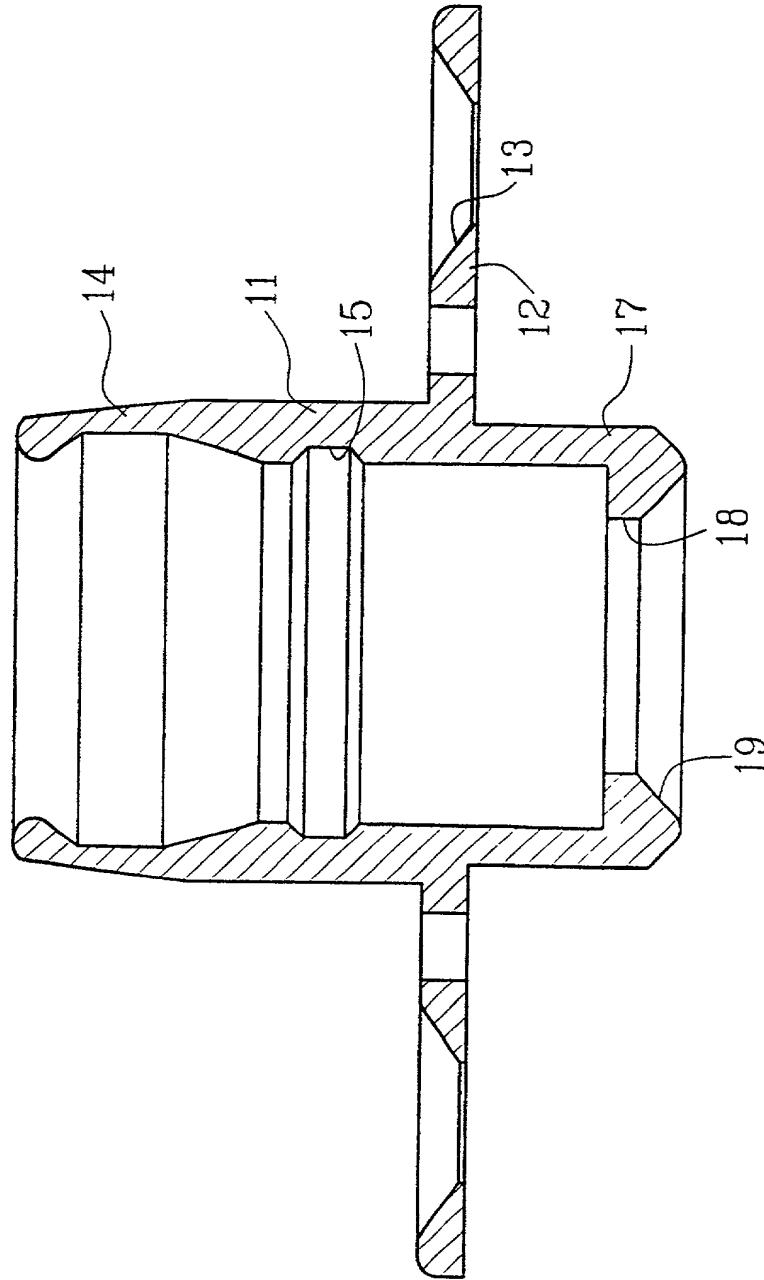


FIG. 3

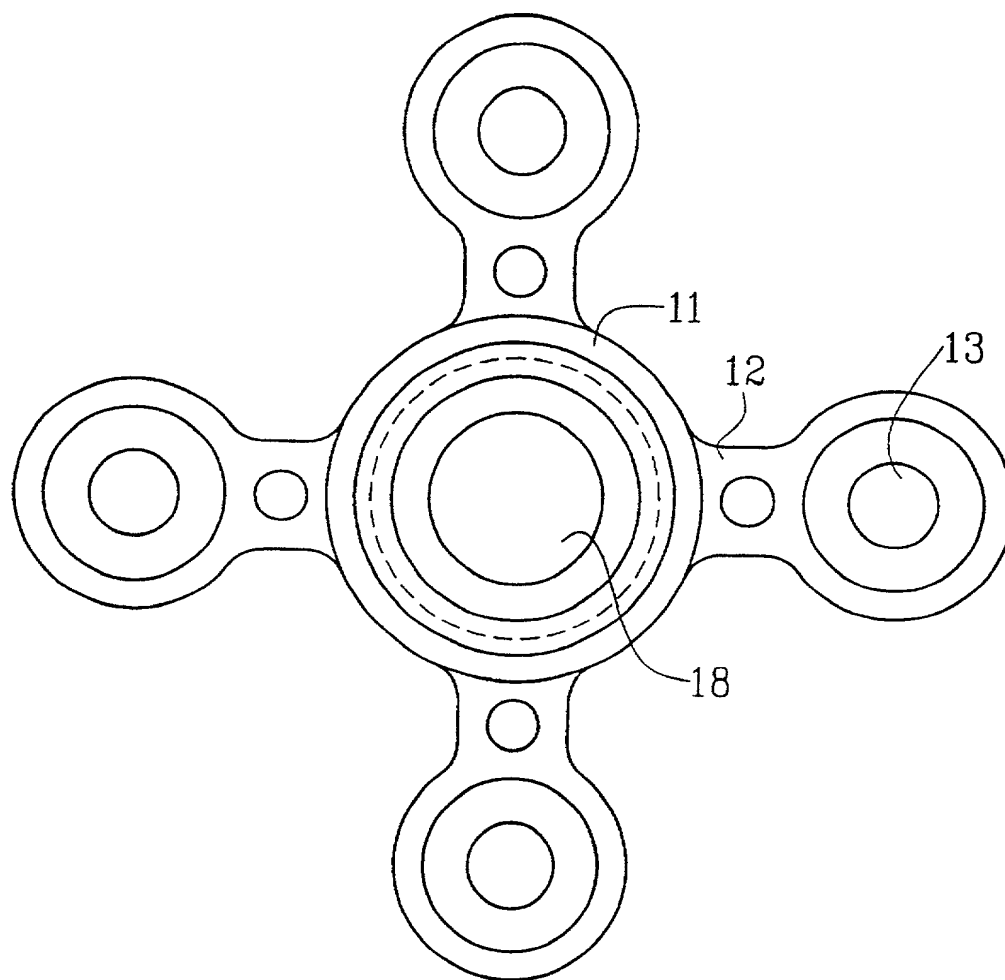


FIG. 4

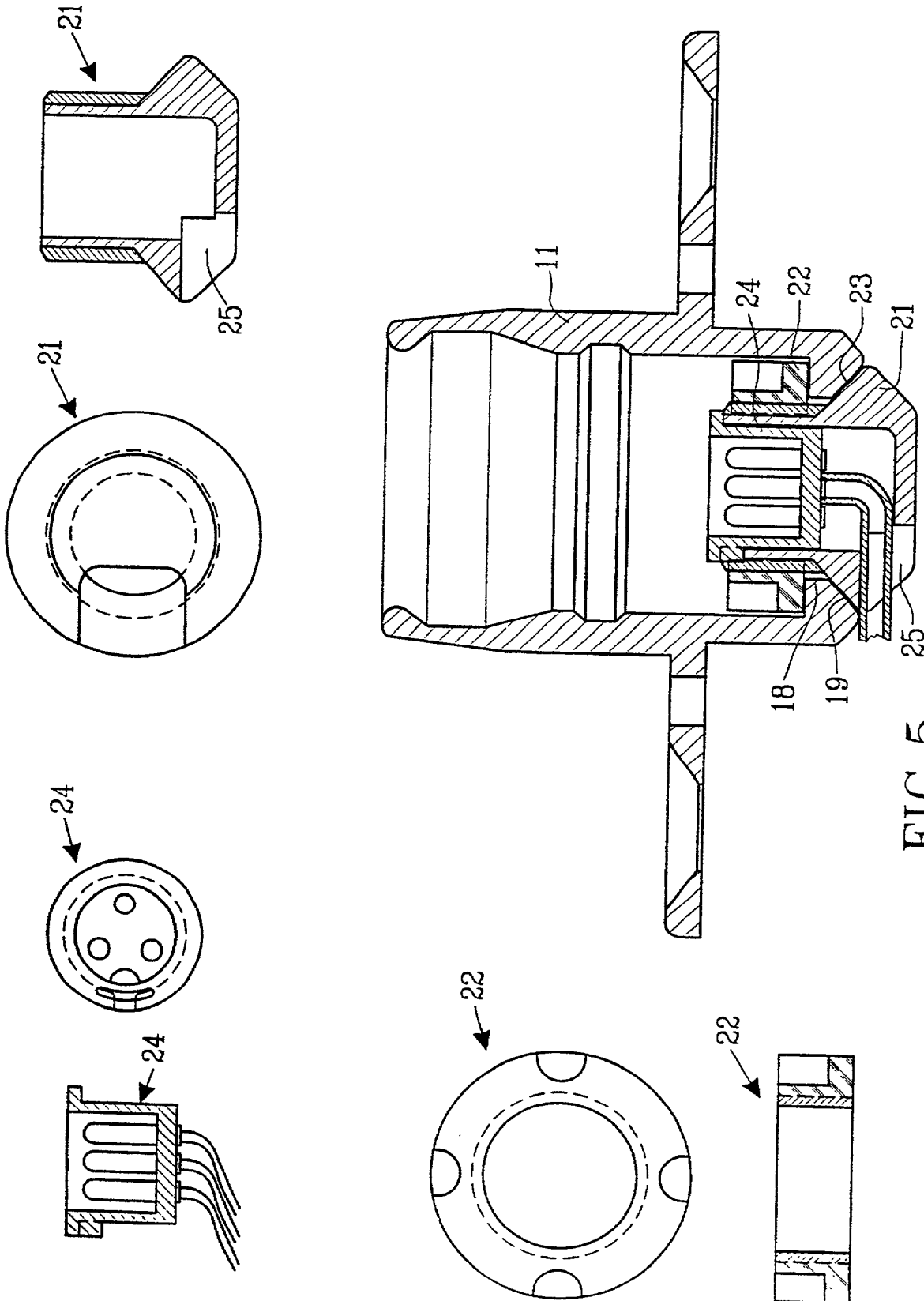


FIG. 5



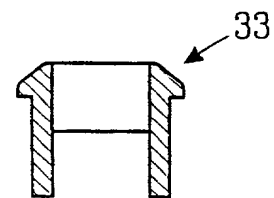
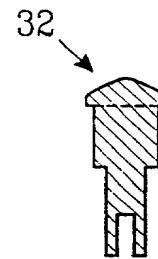
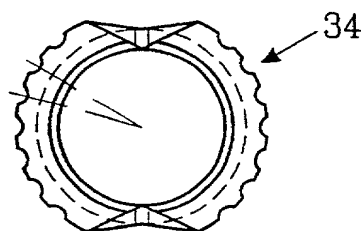
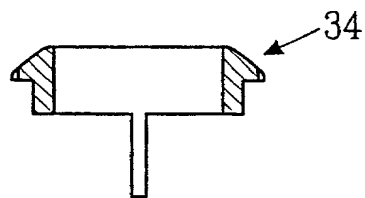
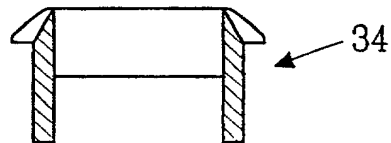
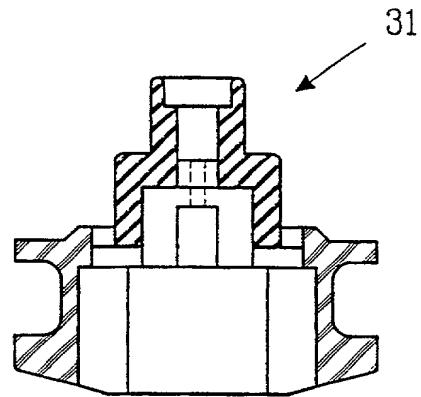
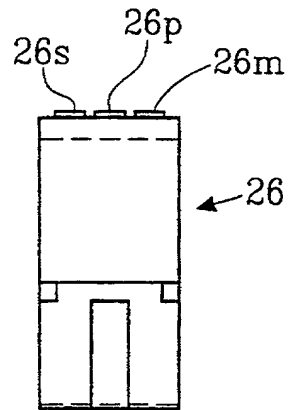


FIG.6

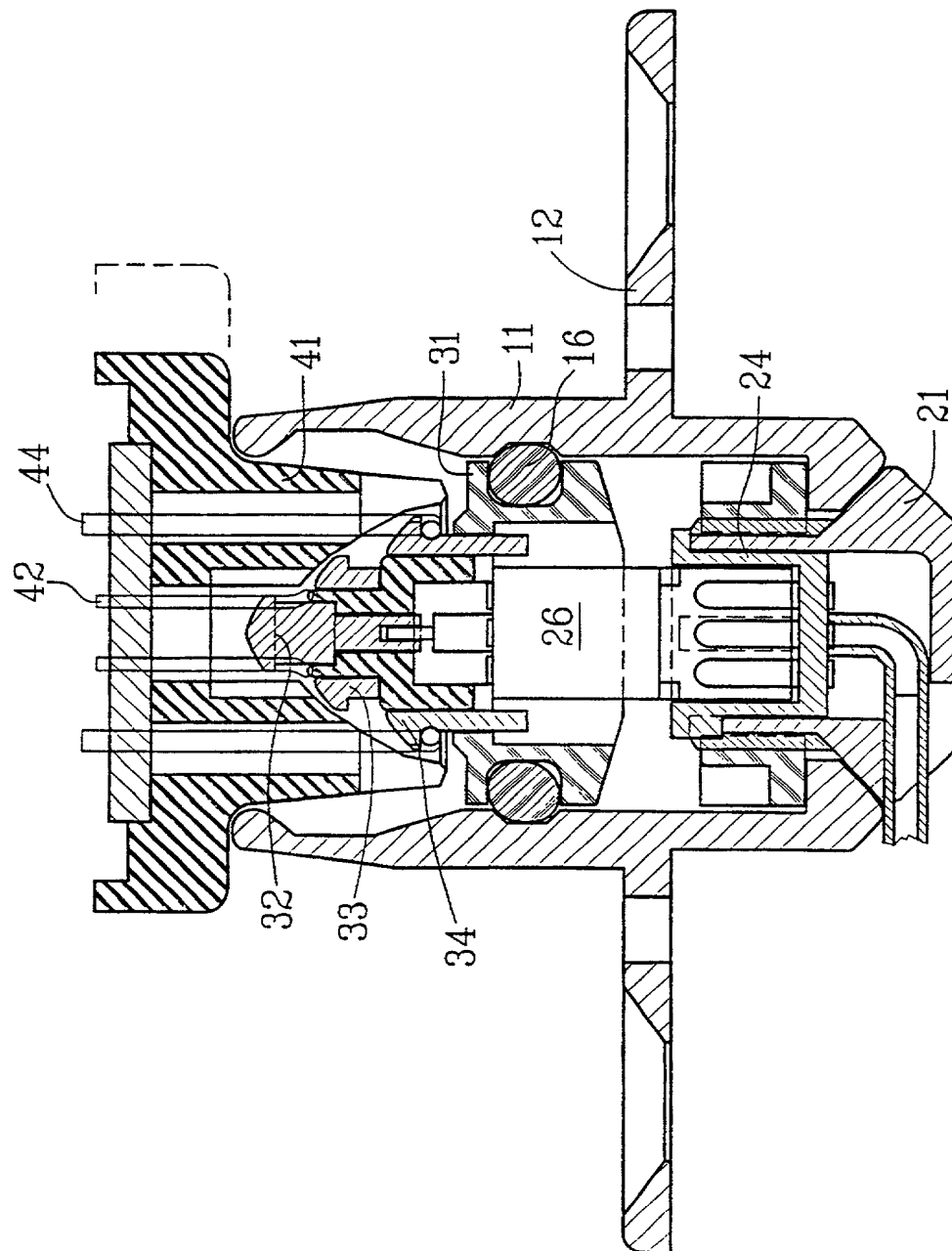


FIG. 7

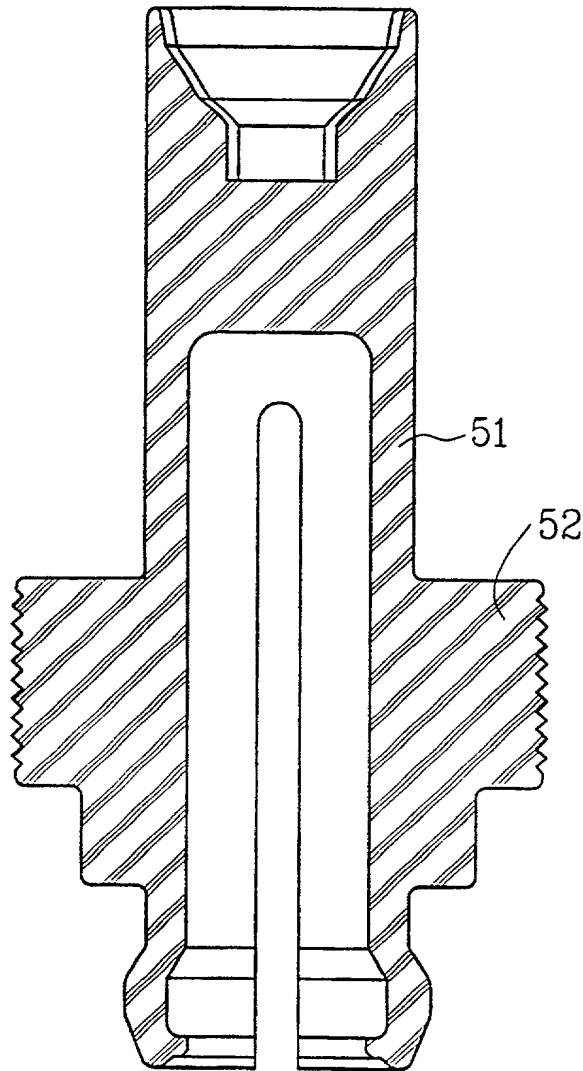


FIG.8

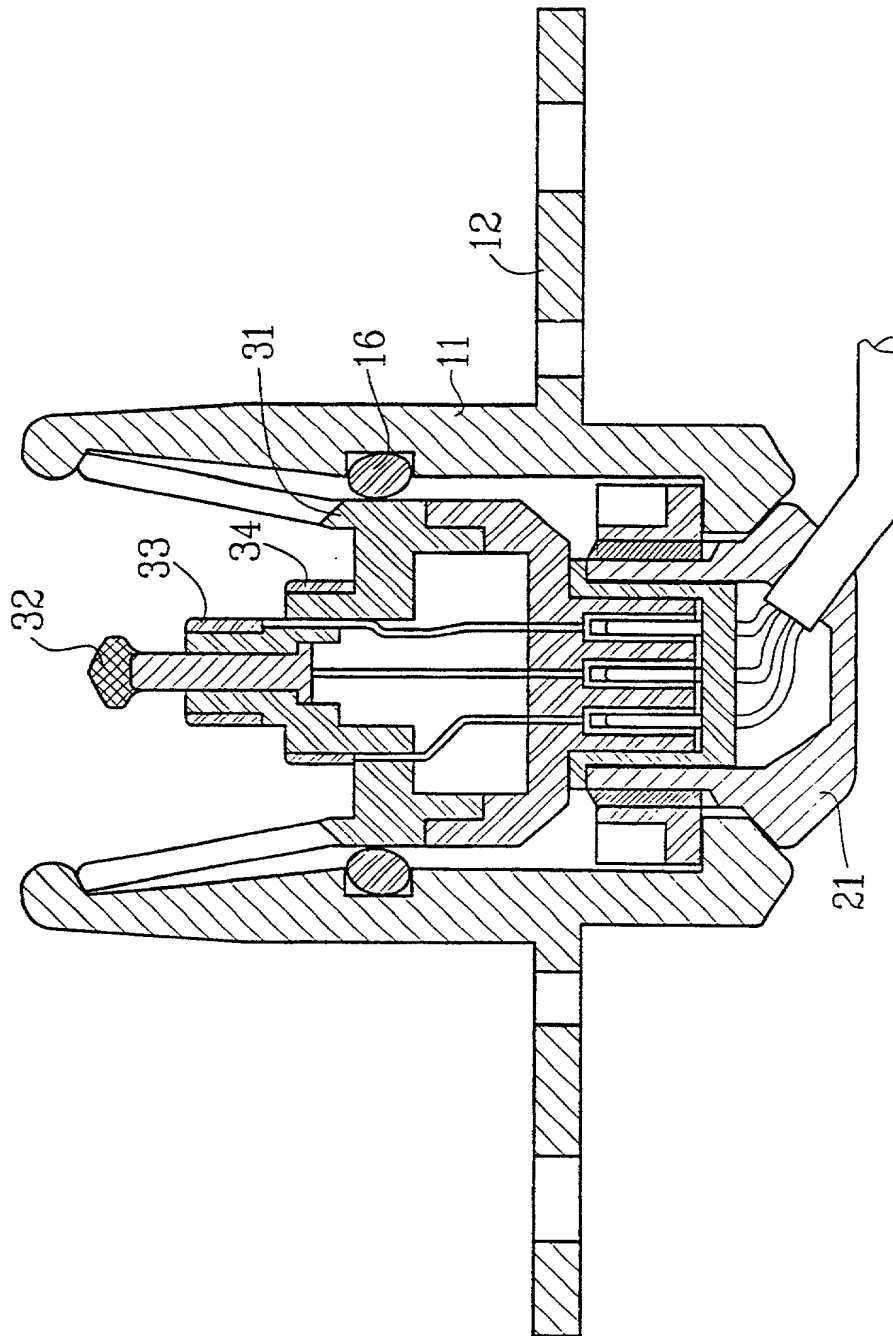


FIG. 9

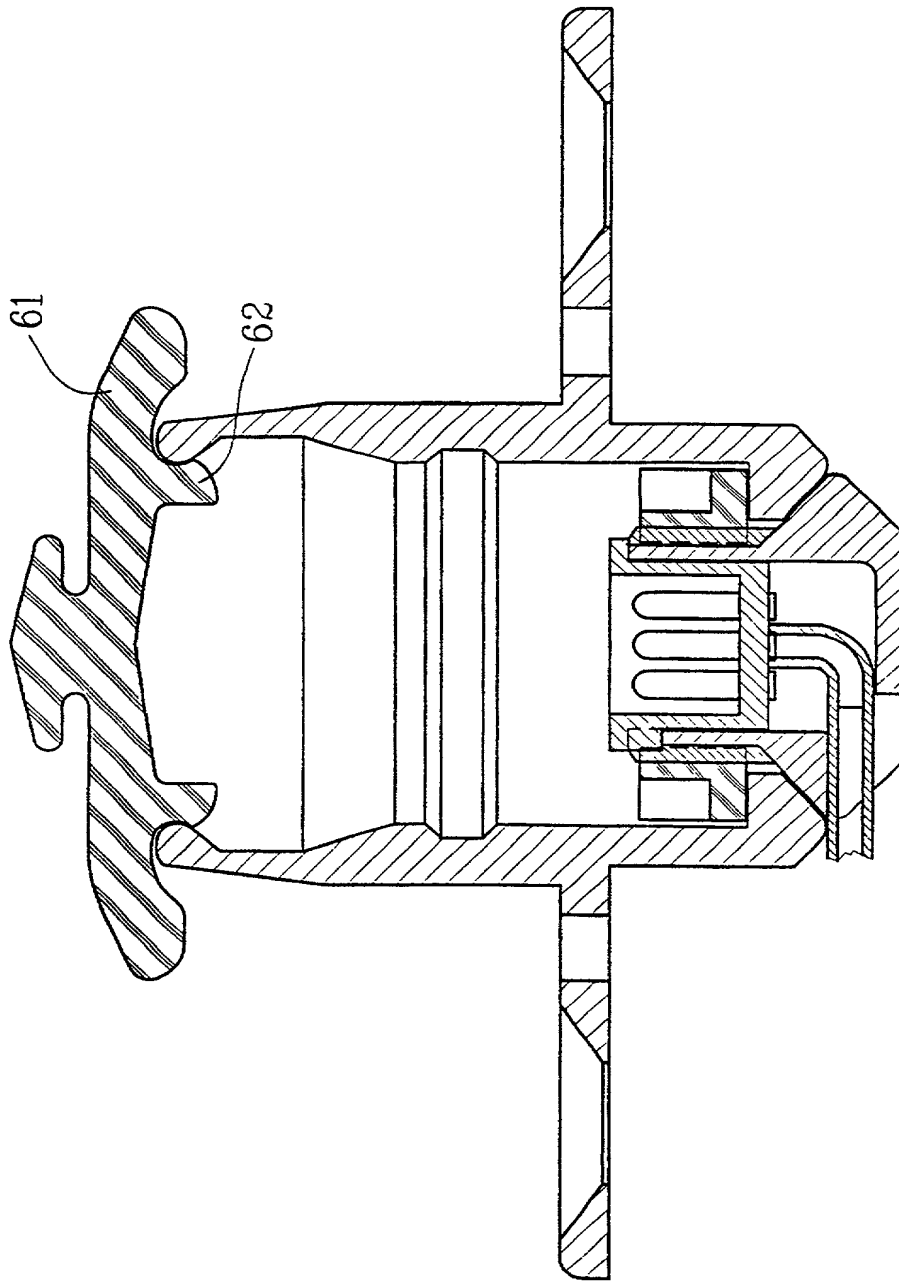


FIG. 10

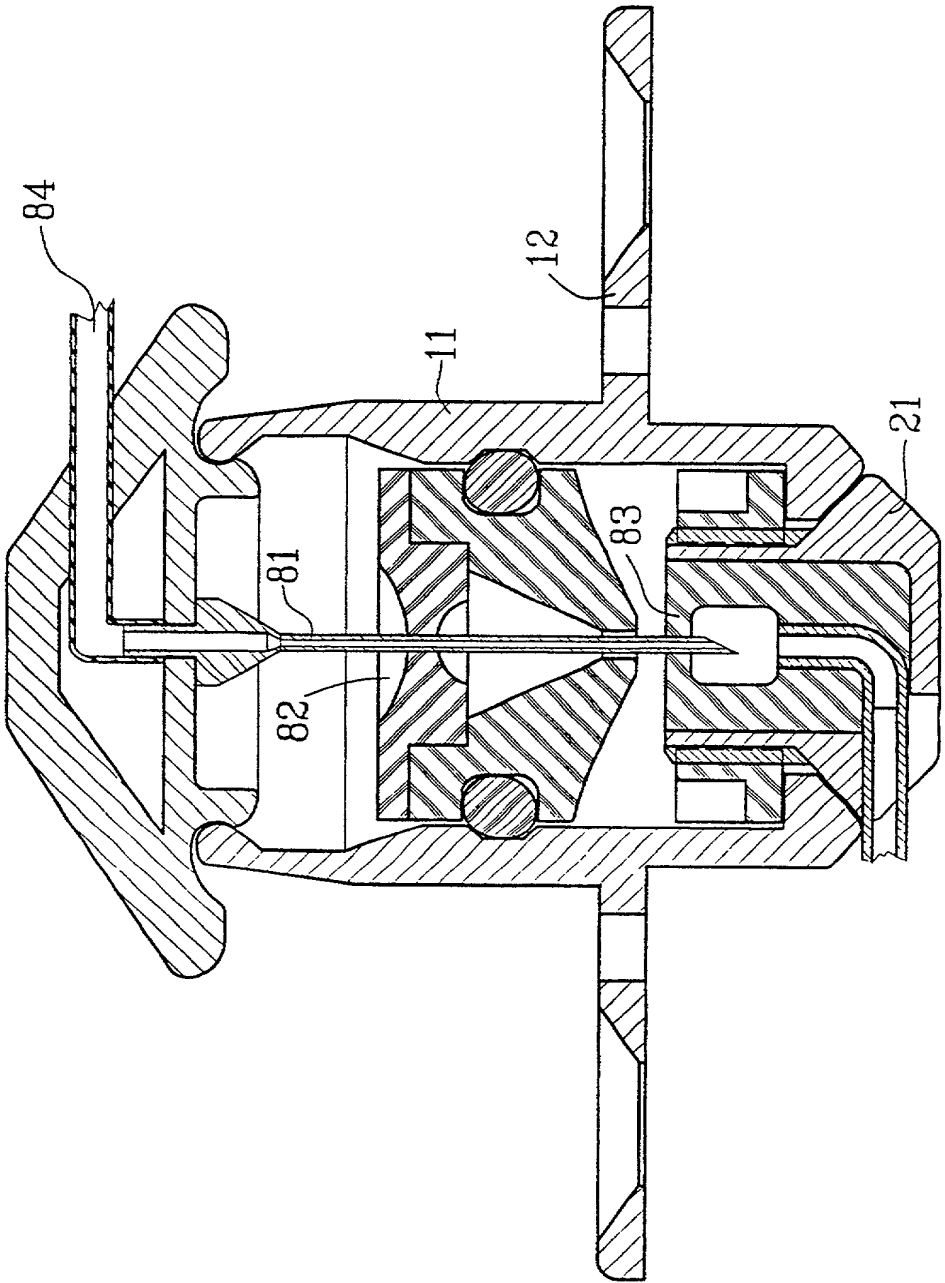


FIG.11

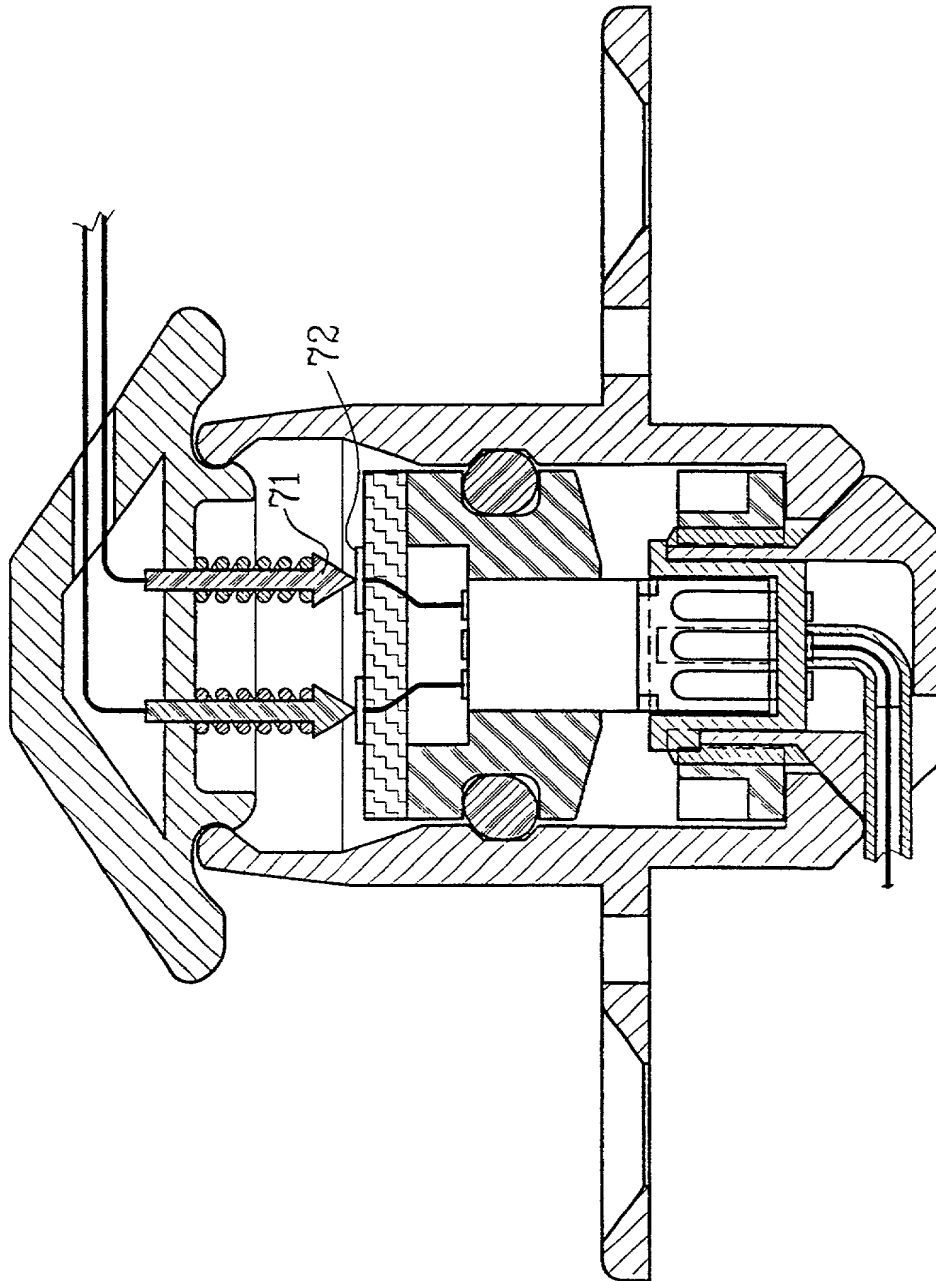


FIG.12

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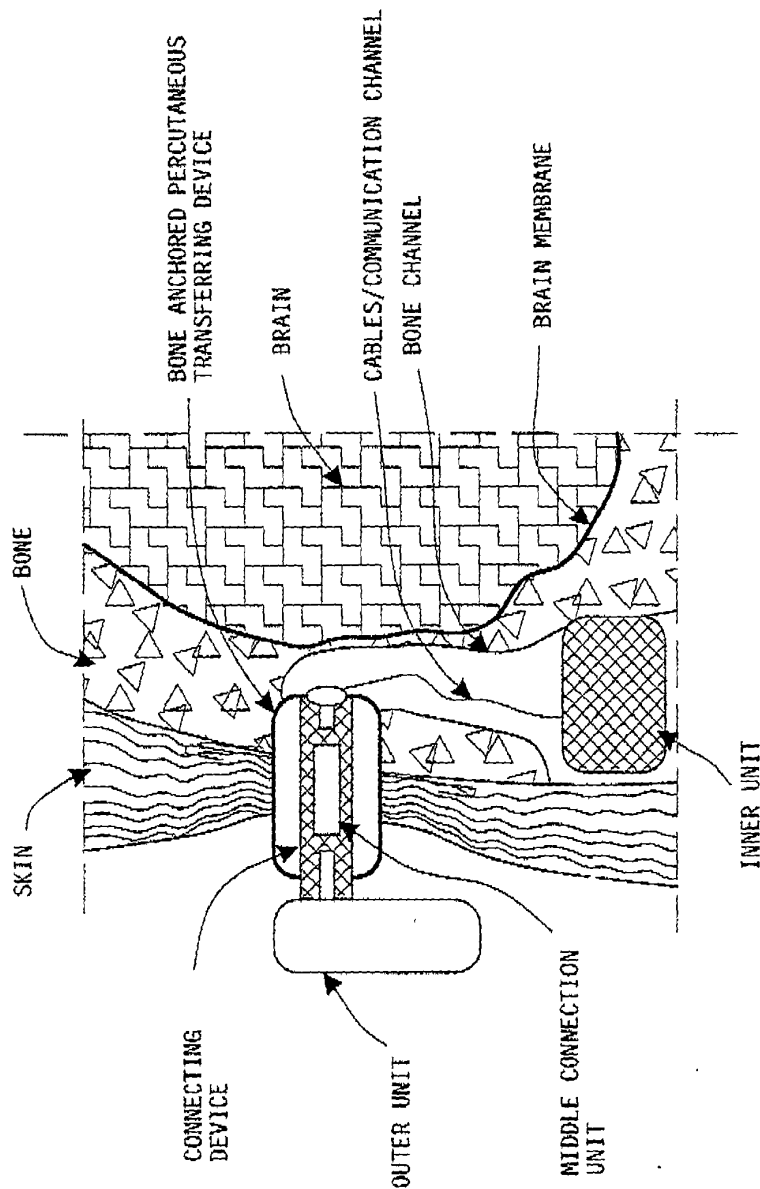


FIG. 13



#4

# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**"Percutaneous bone anchored transferring device"**

the specification of which

☐ is attached hereto.

☐ was filed on \_\_\_\_\_ as United States Application Number or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a)-(d) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed:

Prior foreign application(s)			
Country	Application Number	Date of Filing (month/day/year)	Priority Claimed
Sweden	9704752-6	18.12.1997	YES [X] NO [ ]
			YES [ ] NO [ ]

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Application Number:

Filing Date:

Application Number:

Filing Date:

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. Parent Application Serial Number:

Parent Filing Date:

Parent Patent No:

U.S. Parent Application Serial Number:

Parent Filing Date:

Parent Patent No:

PCT Parent Number:

Parent Filing Date:

POWER OF ATTORNEY: I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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Inventor's signature:

Residence:Post Office address:

Full name of third joint inventor (given, name, family name):

Investor's signature:Residence:Post Office address:

Full name of fourth joint inventor (given, name, family name):

Investor's signature:Residence:Post Office address:

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Parameter	Value	Unit
Initial concentration	1.0	g/L
Initial pH	7.0	
Temperature	25	°C
Time	0-120	min
Agitation speed	150	rpm
Batch size	100	mL
Adsorbent dose	0.1-1.0	g/L
Adsorbent type	Activated carbon	
Adsorbent surface area	1000	m <sup>2</sup> /g
Adsorbent pore volume	0.5	cm <sup>3</sup> /g
Adsorbent density	1.5	g/cm <sup>3</sup>
Adsorbent particle size	0.15-0.25	mm
Adsorbent batch	1	
Adsorbent source	Commercial	
Adsorbent treatment	None	
Adsorbent storage	Room temperature	
Adsorbent handling	Standard	
Adsorbent disposal	Standard	
Adsorbent reuse	Standard	
Adsorbent regeneration	Standard	
Adsorbent monitoring	Standard	
Adsorbent maintenance	Standard	
Adsorbent safety	Standard	
Adsorbent quality	Standard	
Adsorbent quantity	Standard	
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